

California Bay-Delta Program

Oversight and Coordination Multi-Year Program Plan (Years 4-7)

Implementing Agency:
California Bay-Delta Authority

August, 2003



Goals and Objectives

Goal of Oversight and Coordination and CALFED Record of Decision Commitments

Oversight and Coordination provides for the integration and cross-program activities associated with implementation of the Bay-Delta Program. In addition, Oversight and Coordination supports the infrastructure of the California Bay-Delta Authority (CBDA) and its staff, including legal, contracts, fiscal, human resources and staff support, and information technology/data management.

The CALFED Record of Decision (ROD) identified eight commitments to be met. For each ROD commitment, key objectives have been identified for Oversight and Coordination of the Bay-Delta Program:

- **Public affairs/public involvement**
 - Foster understanding and support for the Program.
 - Support overall Program objectives as well as individual Program elements.
 - Create consistency across the Program through visual and written information.
- **Environmental justice**
 - Develop and implement strategies to meet annual and multi-year objectives listed as Environmental Justice activities under Program Plan.
 - Ensure meaningful and substantive participation of community-based organizations and environmental justice groups in California Bay-Delta Program planning, Program implementation, and decision-making processes.
 - Develop and implement a California Bay-Delta Program-wide environmental justice education and technical assistance program.
 - Develop tools and capacity of State and Federal agencies and staff to identify, understand, and evaluate environmental justice issues.
 - Develop environmental justice goals and objectives for each program area, including identifying and developing specific methods to address and mitigate environmental justice impacts.
 - Collect and analyze additional geographic information to assist in the identification of impacts.
 - Ensure effective participation on technical and advisory workgroups by members of potentially affected and/or adversely impacted populations.

- **Program-wide performance and tracking**
 - Track the progress of program projects and activities and assess overall schedule and funding performance of the California Bay-Delta Program.
 - Provide data to effectively review and modify, as needed, the program's timelines and activities to meet the California Bay-Delta Program's overall goals and objectives.
 - Review and report annually state and federal funding for each of the program elements.
- **Regional coordination**
 - Provide assistance to the Program elements related to integration of their efforts.
 - Provide outreach relative to the California Bay-Delta Program on a regional level.
 - Seek input from regional efforts on what the regional needs are and how the California Bay-Delta Program can help address these needs.
- **BDPAC**
 - Provide assistance and recommendations to the Secretary of the Interior, through the participating federal agencies, and to the Governor of California through the Authority on program implementation, including priorities, integration, and balance.
 - Advise and make recommendations on issues related to the Program and any of its processes, projects, or programs.
- **Finance plan**
 - Develop options and recommendations for long-term financing of the California Bay-Delta Program.
- **Water management strategy**
 - Maximize the use of available water supplies through water conservation and recycling and through water quality improvements.
 - Increase the flexibility of water systems at the state, federal, and local levels through improvements in conveyance, storage, and water project operations.
 - Develop groundwater and surface storage projects to increase water supply flexibility and reliability.
- **Tribal relations**
 - Secure the services of a California Bay-Delta Program tribal coordinator who will prepare a plan for promoting and coordinating tribal relations.

Accomplishments

Public Affairs/Public Involvement

- Created three annual reports, prepared news releases and printed materials, sponsored events and briefings. Completed revising the website.

Environmental Justice

- An EJ Coordinator was hired in November. The EJ Subcommittee (EJSC) had its original multiyear workplan approved by the BDPAC in March. EJSC meetings were conducted in Richmond with others scheduled for San Francisco, Los Angeles, Chico, Lake County, and the San Joaquin Delta. The EJSC hosted a forum to discuss a draft California Bay-Delta Program Mercury Strategy document with one of its principal authors. An EJ library, with books, videotapes and training materials was created to provide resource materials to CBDA staff, agency partners and EJSC stakeholders. A “standard” EJ presentation, specific to the California Bay-Delta Program efforts, was designed by/for use of Coordinator and other EJSC stakeholders. Coordinator attended EPA’s five day EJ trainer-of-trainers workshop and has participated in three trainings to date. EJ and Watershed subcommittees have forged a close working relationship based on mutual interests and concerns of respective stakeholders. Presentations about EJ activity in the California Bay-Delta Program have been made at the DWR-sponsored Recycled Water Task Force (April), the Planning and Conservation League’s Annual Workshop (April), the Southern California Dialogue Meeting (May), and several other local and/or community based group functions. The Environmental Justice Sub Committee (EJSC) agreed to actively participate in a project designed to address issues related to mercury contamination and fish consumption in the solution area. The EJSC would focus on efforts to maximize effective outreach, participation, and involvement of potentially affected communities in the California Bay-Delta Program strategy and process. An EJ list-serve was created to respond to specific EJ concerns.

Program-Wide Performance and Tracking

- Produced California Bay-Delta Program Tracking Reports. Focused on five of the 11 Program Elements: Storage, Conveyance, Ecosystem Restoration, Watershed, and Drinking Water Quality.
- Held meetings to coordinate and gather data with program and budget staff from state, federal, and local entities.
- Developed and enhanced the content, format, and function of the program tracking data worksheets to improve consistency, accuracy, reliability, and ease of reporting. Transitioned from monthly to annual reporting.
- Formed a transition team in December 2001 to analyze the California Bay-Delta Program Tracking and develop a more cost-effective method.
- Developed Memoranda of Understanding (MOUs) with U.S. Bureau of Reclamation (USBR) and Department of Water Resources (DWR) to coordinate collection, analysis, and reporting of federal and state program tracking data.

Regional Coordination

- Regional coordinators have been identified for all five regions. Funding has been provided to two regional forums: the Southern California Water Dialogue and the Association of Bay Area Governments (ABAG) CALFED Task Force. Regional coordinators have also worked with other regional groups to update them regarding California Bay-Delta Program activities and to hear what their interests are.
- Prepared a draft of the regional implementation plan for the Delta region. Developed regional brochures and region-specific summaries of interests and accomplishments for the annual reports.

BDPAC

- Supported enactment of the California Bay-Delta Authority Act, federal authorization of the Program, additional state and federal financing of the California Bay-Delta Authority Program, and continued study of the In-Delta Storage Project.
- Assisted the Authority in development of funding principles and guidelines for allocation funds from Proposition 50, including funds for the Science Program and development of Program element priorities and work plans.
- Formed nine subcommittees, which assist the Committee by focusing on individual Program areas and elements: Delta Levees and Habitat, Drinking Water, Ecosystem Restoration, Environmental Justice, Steering Committee, Watershed, Water Supply, Water Use Efficiency, and Working Landscapes.

Finance Plan

- No progress was made in Years 1-2 due to lack of funding and contract issues. In Year 3, progress was made on the finance plan. A technical expert team of consultants and staff were put together to provide guidance and advice on the process, approach, and evaluation of benefits, beneficiaries, costs, tools, and program balance.

Water Management Strategy

- Progress has been made on Common Assumptions (a unified set of data and modeling tools for conducting water management analyses including the Integrated Storage Investigations (ISI) modeling, water use efficiency assessments, and demand computations for the California Water Plan Update).
- Progress has been made on Integrated Key Milestones. Several high priority projects have been coordinated to meet critical deadlines: Central Valley Project Operating Criteria and Procedures Biological Opinion, Environmental Water Account, Tracy Fish Test Facility, Coordinated Operating Agreement, the USBR (b)(2) opinion under the CVPIA and USBR long-term contract renewal.
- Formed a new water management team consisting of two Assistant Deputy Directors with joint responsibilities for all water management program elements and cross-program integration.

Tribal Relations

- The CBDA is securing the services a tribal coordinator, who will prepare a plan for promoting and coordinating tribal relations.

Major Activities

Public Affairs/Public Involvement

- **Public Involvement** – The Public Affairs Office will meet with management and evaluate how to focus resources toward outreach. Stand-alone brochures for each region and an annual report will be prepared.

Schedule: Completion December 15 of each year

Environmental Justice

- **Environmental Justice** – Environmental Justice Coordinator and Environmental Justice Subcommittee will extend efforts at outreach, education, training, and community meetings at various locales. Conduct EJ trainings within the California Bay-Delta Program and in communities within the “solution area”. Work directly with all other Program elements to include EJ principles and address relevant EJ concerns in all CDBA (and agency partner) Program Solicitation Proposals (PSP’s). EJSC to serve as a “clearinghouse” to provide assistance, information, and guidance to EJ groups and communities throughout the solution area. Provide an EJ – analysis of existing and planned the California Bay-Delta Program projects. Research and develop process to facilitate and strengthen EJ groups and communities ability to access grants fund and other means of support to participate more fully in the California Bay-Delta Program process. EJSC meetings (6-10) are planned for specific community settings identified to have specific the California Bay-Delta Program –related EJ concerns. Provide support and guidance to capacity-building actions and activities for stakeholders and their respective groups who support the EJSC and the California Bay-Delta Program efforts. Working with the California Bay-Delta Program’s regions, EJSC to prepare specific regional strategies relevant to each location and their specific EJ issues and concerns.

Schedule: Completion ongoing

Program-Wide Performance and Tracking

- **Program-Wide Performance and Tracking** – The Policy and Finance Office will develop a comprehensive reporting system, which provides status on expenditures, schedule, and meeting of objectives for each program element measured against established targets.

Schedule: Completion December 15 of each year

Regional Coordination

- **Regional Coordination** – The Regional Coordination Office will improve communication with local interests, communicate the concerns and interests of local stakeholders back to the program elements, work with the California Bay-Delta Program and projects in a region to increase integration between the program elements, and assure that implementation addresses regional goals and objectives at the same time that statewide goals are addressed.

Schedule: Completion ongoing

BDPAC

- **BDPAC** – BDPAC will meet regularly with managing agencies and will provide advice and recommendations regarding coordination with the California Bay-Delta Program. BDPAC will advise the Authority and agencies regarding integrating Environmental Justice into the Program. BDPAC will provide (1) recommendations regarding the Science Program’s budgets and priorities, (2) recommendations regarding Program integration and balance, (3) recommendations to all Bay-Delta Program agencies, and (4) recommendations regarding carrying out the single blueprint. BDPAC will regularly review schedules for integrated key milestones for water operations, renewal of contracts, and the Environmental Water Account.

Schedule: Completion ongoing

Finance Plan

- **Finance Plan** – In Year 3, the Policy and Finance Office will review previous documents related to CBD Program Finance Planning. The Policy and Finance Office will convene a technical expert team to provide guidance and advice on process, approach, evaluation of benefits, beneficiaries, costs, tools, and program balance. The Policy and Finance Office will interview program managers, State and Federal agencies, and stakeholders to identify finance issues and concerns, and to discuss benefits, beneficiaries, and costs of programs and projects/ tasks. The Policy and Finance Office will prepare an issue report identifying issues, concerns, and next steps. In Year 4, the Policy and Finance Office will prepare the Draft Finance Options Report. The Policy and Finance Office will convene and Independent Review Panel to review and advise on the process as well as on the Draft and Final Finance Options Report. In Years 5-7, the Policy and Finance Office will make recommendations from the Finance Options Report and will implement those recommendations.

Schedule: Completion 2007

Water Management Strategy

- **Water Management Strategy** – Implementing agencies, with oversight and coordination by CBDA, will pursue and track all of the specific Record of Decision (ROD) actions related to Water Management.

Schedule: Completion ongoing.

Tribal Relations

- The California Bay-Delta Authority is actively seeking a tribal coordinator. Once on board the tribal coordinator will prepare the Program Plan.

Year 4 Activities

Public Affairs/Public Involvement

- **Public Involvement** – The Public Affairs Office will meet with management and evaluate how to focus resources toward outreach. Stand-alone brochures for each region and an annual report will be prepared.

Schedule: Completion December 15, 2003

Environmental Justice

- **Environmental Justice** – Develop sound EJ performance measures for all California Bay-Delta Programs, working with the Science Program. Collaborate with the Watershed Program to provide improved mapping (including GIS) of communities by watershed. Conduct joint subcommittee meetings in community settings. Secure mechanism(s) and support for increased funding from varied sources to avoid exclusive reliance on General Fund monies. Increase staffing of EJ activity within the California Bay-Delta Program. Work with tribal community in Lake County as part of outreach and education effort to support CDBA Mercury contamination / fish consumption strategy. Refine EJSC processes to promote more inclusive, transparent, and effective collaborative decision-making. Conduct EJSC visioning and strategic planning event.

Schedule: Completion ongoing

Program-Wide Performance and Tracking

- **Program-Wide Performance and Tracking** – The Policy and Finance Office will develop a comprehensive reporting system, which provides status on expenditures, schedule, and meeting of objectives for each program element measured against established targets.

Schedule: Completion December 15, 2003

Regional Coordination

- **Regional Coordination** – The Regional Coordination Office will identify regional coordinators for San Joaquin and Sacramento. The Regional Coordination Office will improve communication with local interests, communicate the concerns and interests of local stakeholders back to the program elements, work with the California Bay-Delta Program and projects in a region to increase integration between the program elements, and assure that implementation addresses regional goals and objectives at the same time that statewide goals are addressed.

Schedule: Completion ongoing

BDPAC

- **BDPAC** – BDPAC will meet regularly with managing agencies and will provide advice and recommendations regarding coordination with the CBDA. BDPAC will advise the Authority and agencies regarding integrating Environmental Justice into the Program. BDPAC will provide (1) recommendations regarding the Science Program's budgets and priorities, (2) recommendations regarding Program integration and balance, (3) recommendations to all Bay-Delta Program agencies, and (4) recommendations regarding carrying out the single blueprint. BDPAC will regularly review schedules for integrated key milestones for water operations, renewal of contracts, and the Environmental Water Account.

Schedule: Completion ongoing

Finance Plan

- **Finance Plan** – In Year 4, the Policy and Finance Office will prepare the Draft Finance Options Report. The Policy and Finance Office will convene an Independent Review Panel to review and advise on the process as well as on the Draft and Final Finance Options Report.

Schedule: Completion 2004

Water Management Strategy

- **Water Management Strategy** – Implementing agencies, with oversight and coordination by CBDA, will pursue and track all of the specific Record of Decision (ROD) actions related to Water Management.

Schedule: Completion ongoing.

Tribal Relations

- The California Bay-Delta Authority is actively seeking a tribal coordinator. Once on board the tribal coordinator will prepare the Program Plan.

Integration with the Science Program

An independent review panel will be established to review and critique options for financing the California Bay-Delta Program.

Performance Standards

The California Bay-Delta Program is working with the Science Program to develop performance measures related to water supply reliability.

Cross-Program Relationships

Water Use Efficiency (WUE) – The WUE Program has a ROD commitment to develop a WUE Finance Plan, consisting of a program assessment and determination of funding needs, by the end of Year 4. This process will be closely coordinated and integrated with the long-term Finance Plan. The Water Management objective is linked to the WUE Program in its common assumptions, the Comprehensive Study to integrate flood control and ecosystem objectives on Central Valley rivers and stream, on the Tuolumne Basin Integration Pilot, on the Water Use Efficiency Comprehensive Year-4 Evaluation, and on the creation of WUE long-term assets for the EWA.

Storage, Conveyance, and Conjunctive Use – The Water Management objective is linked to the Storage Program in its common assumptions, the Comprehensive Study to integrate flood control and ecosystem objectives on Central Valley rivers and streams, on the Tuolumne Basin Integration Pilot Project, on the Water Management Strategy Evaluation Framework, on Surface Water Storage Investigations, and on the South Delta Fish Forum.

Water Transfers – The Water Management objective is linked to the Water Transfers Program in its common assumptions, the Comprehensive Study to integrate flood control and ecosystem objectives on Central Valley rivers and streams, and on the Tuolumne Basin Integration Pilot Project.

Environmental Water Account (EWA) – The Water Management objective is linked to the EWA Program in its common assumptions, the Comprehensive Study to integrate flood control and ecosystem objectives on Central Valley rivers and stream, and on the Tuolumne Basin Integration Pilot Project.

Levee System Integrity – The Water Management objective is linked to the Levee Program in its common assumptions, the Comprehensive Study to integrate flood control and ecosystem objectives on Central Valley rivers and streams, on the Tuolumne Basin Integration Pilot Project, and on levee subventions.

Stage 1 Funding

Oversight & Coordination Funding (\$ in millions)	Program Year							Total
	1	2	3	4	5	6	7	
State	\$11.00	\$8.30	\$13.58	\$8.86	\$8.71	\$8.71	\$8.71	\$67.87
Federal	\$.40	\$2.68	\$2.75	\$1.75				\$7.58
Local/Water User	\$0.00	\$0.00	\$0.00	\$0.00				\$0.00
Revised Stage 1 (Actual & Expected Funding) ¹	\$11.40	\$10.98	\$16.33	\$10.61	\$8.71	\$8.71	\$8.71	\$75.45
Original ROD (Aug, 2000) ²								
Revised ROD (Dec, 2002) ³	\$11.4	\$11.0	\$16.3	\$12.3	\$16.1	\$16.1	\$16.1	\$99.3

¹ Funding for Years 1-2 reflects actual State encumbrances & expenditures and federal obligations. Funding for Year 3 reflects final State and Federal budgets. Funding for Year 4 reflects proposed Governor's and President's budgets. Expected funding in Years 5-7 includes State base funding. Federal appropriations for Years 5-7 are unknown; therefore, federal funding is not included beyond Year 4.

² The Record of Decision did not include cost estimates for Oversight & Coordination.

³ Revised ROD estimates are revised estimates of funding needs as of December 2002. These were included in the January 2003 program tracking report.

Stage 1 Funding by Task

Program Oversight & Coordination Funding (\$ in millions)	Program Year							Total
	1	2	3	4	5	6	7	
Base Budget for Program Operations ¹	\$8.83	\$6.30	\$6.91	\$5.31	\$4.54	\$4.54	\$4.54	\$40.97
Legal	\$0.70	\$0.84	\$1.69	\$1.00	\$1.00	\$1.00	\$1.00	\$7.23
Public Affairs Public Involvement	\$0.36	\$0.30	\$1.12	\$0.73	\$0.55	\$0.55	\$0.55	\$4.16
Environmental Justice			\$0.35	\$0.20	\$0.20	\$0.20	\$0.20	\$1.15
Program Wide Performance and Tracking		\$0.09	\$0.64	\$0.35	\$0.35	\$0.35	\$0.35	\$2.13
Regional Coordination			\$0.77	\$0.55	\$0.55	\$0.55	\$0.55	\$2.97
BDPAC Staff and Support	\$0.67	\$0.21	\$0.37	\$0.42	\$0.22	\$0.22	\$0.22	\$2.33
Finance Plan		\$0.45	\$1.14	\$0.70	\$0.50	\$0.50	\$0.50	\$3.79
Water Management Strategy		\$0.37	\$1.14	\$0.40	\$0.20	\$0.20	\$0.20	\$2.51
Environmental Compliance	\$0.84	\$2.42	\$2.20	\$0.95	\$0.60	\$0.60	\$0.60	\$8.21
Tribal Relations / Projects								\$0.00
Revised Stage 1 (Actual & Expected Funding) ²	\$11.40	\$10.98	\$16.33	\$10.61	\$8.71	\$8.71	\$8.71	\$75.45
Original ROD (Aug, 2000) ³								\$0.0
Revised ROD (Dec, 2002) ⁴	\$11.4	\$11.0	\$16.3	\$12.3	\$16.1	\$16.1	\$16.1	\$99.3

¹ Includes executive, contracts/fiscal, human resources/staff support, information technology/data management, and support for the Authority members.

² Funding for Years 1-2 reflects actual State encumbrances & expenditures and federal obligations. Funding for Year 3 reflects final State and Federal budgets. Funding for Year 4 reflects proposed Governor's and President's budgets. Expected funding in Years 5-7 includes State base funding. Federal appropriations for Years 5-7 are unknown; therefore, federal funding is not included beyond Year 4.

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